

Execution Venues:

These are the venues upon which Brewin Dolphin places its reliance as referred to in the order execution policy.

UK

Member Firms of the London Stock Exchange
 Member Firms of PLUS
 MTF'S – BATS, Chi-X, Equiduct, Turquoise
 Nyse Arca Europe

Overseas

Broker

Nomura	Far East	Deutsche Bank	Europe/US/Bonds
UBS	US	Merrill Lynch	US/Bonds
Goldman Sachs J B Were	Australia	Winterflood Securities	US/Canada/Europe/Bonds
Man Financial	Various Markets	CSFB	US/Europe/Bonds
Prudential	US	Citigroup/Saloman	US/Bonds
Sasfin	South Africa	RBC Capital Markets	Canada
E.I.F.B Paris	France	ABN	Bonds
United Banks of Finland	Finland	Barclays	Bonds
Swiss American Securities	US	Bank of America	Bonds
Merck Finck	Germany	BNP	Bonds
Banca I.M.I	Italy	Danske Bank	Bonds
Bank Vontobel A.G	Switzerland	Fortis	Bonds
Leo Stevens et Cie	Belgium	ING	Bonds
ICAP	Europe	Rabobank	Bonds
Kempen & Co	Amsterdam	RBS	Bonds
Van Doorn (AEK)	Amsterdam	TD Securities	Bonds
Barnard Jacobs	South African/Australian	Altrushare	US/Canada
Collins Stewart	Australian/Bonds	Sanford Bernstein	US/Canada
Glintir Securities	Norway	Fidelity Capital Markets	US/Canada
West LB	Europe	Brown Brothers Harriman	US
UOB	Far East	Goodbody	Ireland
Patersons Securities	Australia	Tolhurst Noall	Australia
CIBC/Woodgundy	US/Australia	Baillieu	Australia
Legg Mason (Stifel, Nicolaus & Co)	US	CLSA	Far East
Robert Baird	US	DBS Vickers	Far East
Macquarie	US/Japan/Asia	Renaissance	South African/Australian
HG Wellington	US	Cowen & Co	US
Northern Securities	US	Scotia Holdings	US/Canada
Griswold	US	ING	Various
A G Edwards	US	Canaccord	US/Canada
BMO Nesbitt Burns	Canada	Credit Agricole Securities	Various
Wachovia	US	NCL	Bonds
Keppler	Switzerland/Europe	Daiwa	Bonds
Helvea	Switzerland	HSBC	Bonds
Knight Securities	US	Guy Butler	Bonds
Davy	Ireland	Danske Bank	Bonds
First Energy Corporation	Canada	JPM	Bonds
Evolution	China	Jeffries	Bonds
LCF Rothschild	Australian/Bonds	King & Shaxon	Bonds
Ord Minnet	Australia	Bridport	Bonds
Hampton Securities	Canada	UBS	Bonds
Tokyo Mitsubishi	Bonds	Sutherlands	Bonds
ZKB	Bonds	Lloyds	Bonds
Oppenheimer	Bonds	Commenwealth Bank	Australian/Bonds
Silvia Quant	European	Redburn	European

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